

**R**

**Warnings**

- Educational content
- Contains technologies that are now dead
- Listen at your own risk

# Algorithms and Formats for Adaptive Streaming

*Ali C. Begen, Streaming Artichoke*

**Viewer Discretion is Advised**

The following content may contain elements that are not suitable for some audiences

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Adv. Res. and Dev.



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Intern

QUALCOMM



Ankara  
BS in EE



Istanbul  
Prof. in CS Dept.

ÖZYEĞİN  
UNIVERSITY

Konya  
2200 guests

Konya

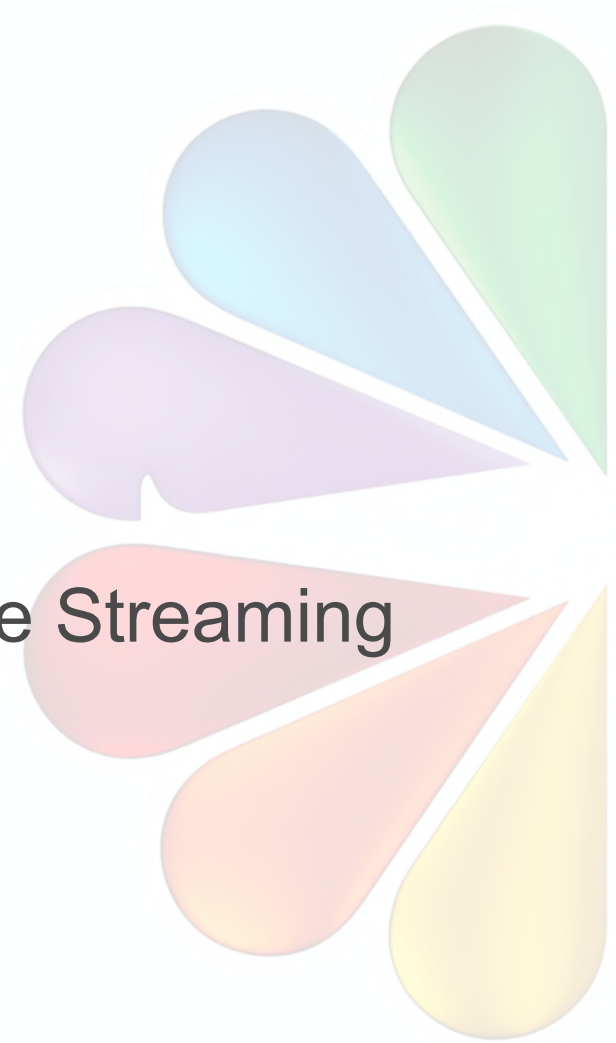


# Topics to Cover

- Download vs. streaming
- Common issues in scaling and multi-screen/hybrid delivery
- Status of MPEG DASH
- Improving QoE in streaming

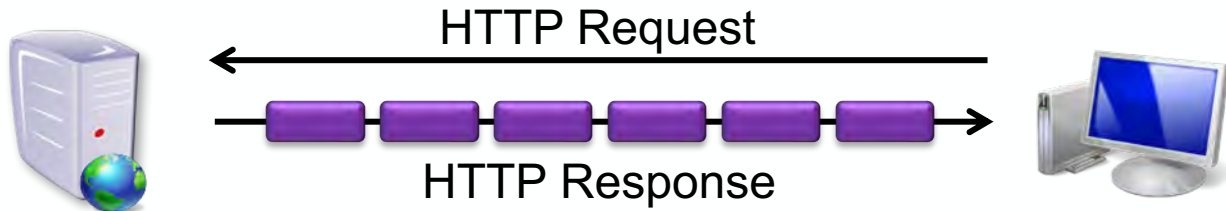
# Algorithms and Formats for Adaptive Streaming

*Download vs. Streaming*

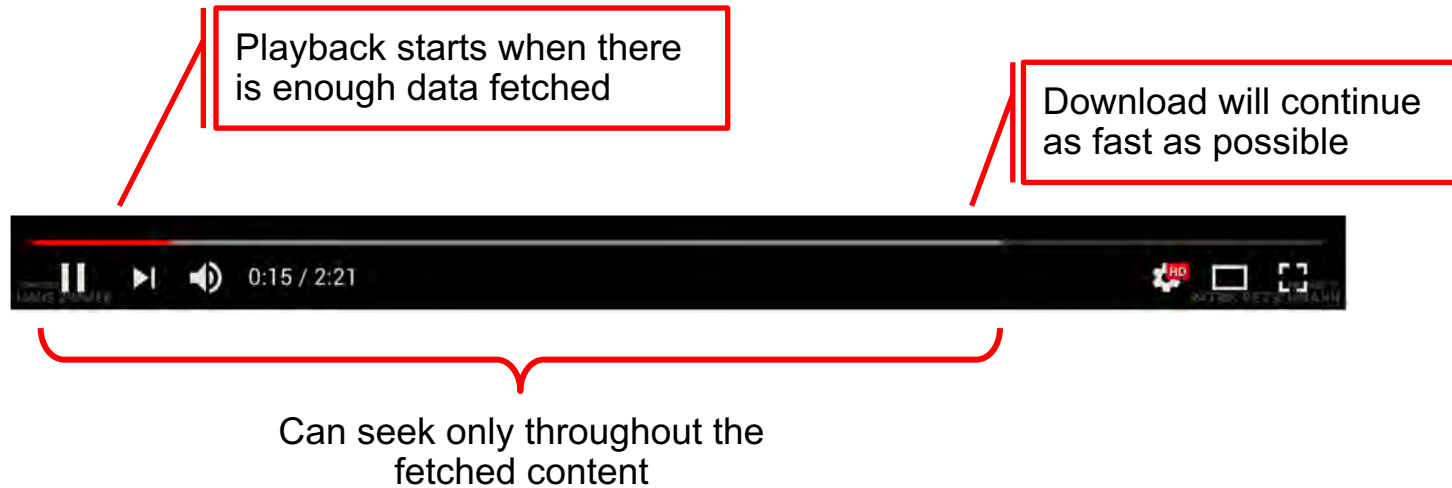


# Progressive Download

One Request, One Response



# Progressive Download Scenario



# What is Streaming?

Streaming is transmission of a continuous content from a server to a client and its simultaneous consumption by the client

## Two Main Characteristics

1. Client consumption rate may be limited by real-time constraints as opposed to just bandwidth availability
2. Server transmission rate (loosely or tightly) matches to client consumption rate

# Streaming Scenario

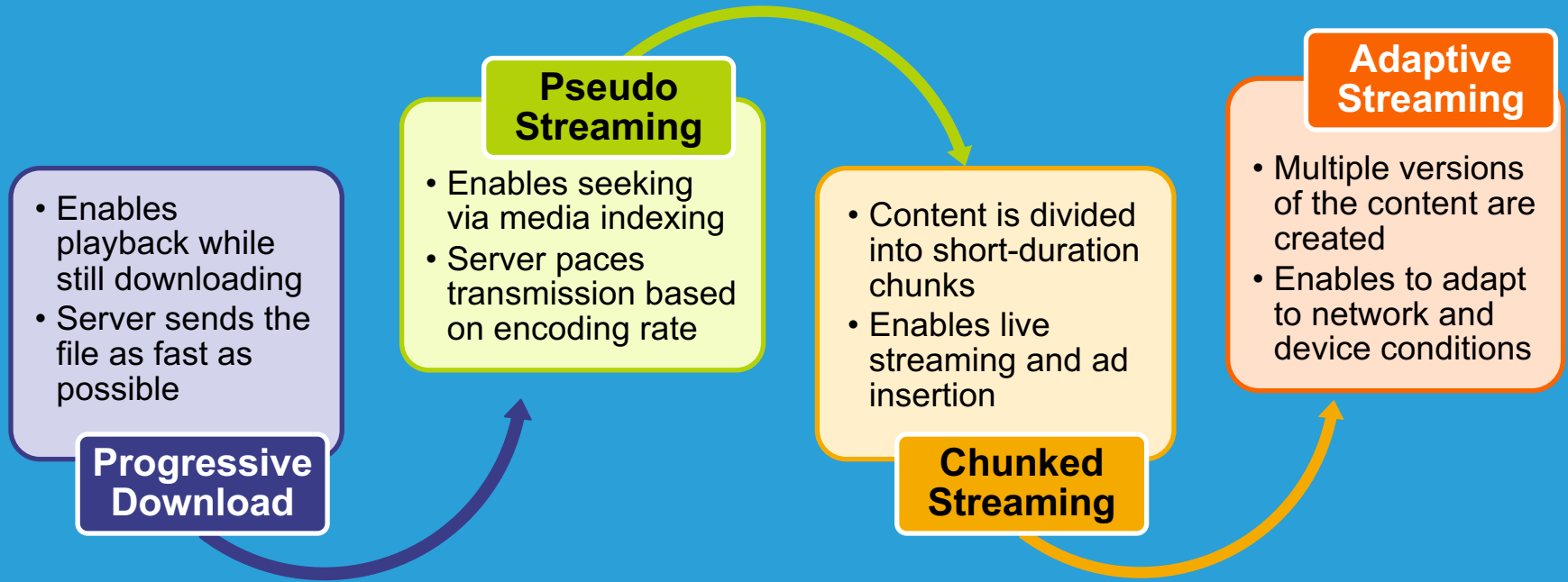
Playback starts when there is just enough data fetched

Download will match the encoding bitrate and download pauses if the player pauses

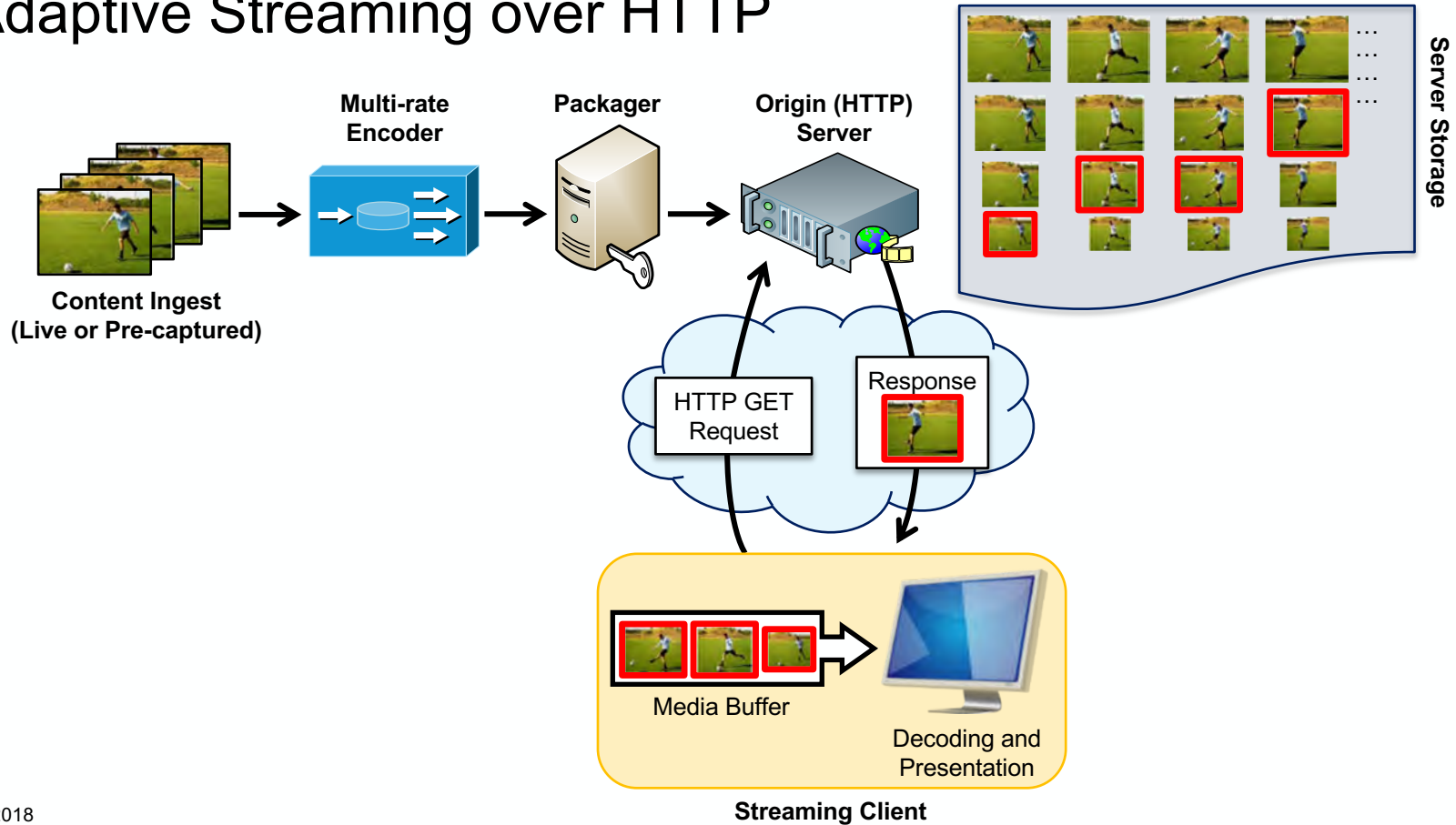


Can seek to anywhere in the entire content

# Video Delivery over HTTP



# Adaptive Streaming over HTTP



# HTTP Adaptive Streaming

## Adapt Video to Web Rather than Changing the Web

- Imitation of streaming via short downloads
  - Downloads small chunks to minimize bandwidth waste
  - Enables to monitor consumption and track the streaming clients
- Adaptation to dynamic conditions and device capabilities
  - Adapts to dynamic conditions in the Internet and home network
  - Adapts to display resolution, CPU and memory resources of the streaming client
  - Facilitates “any device, anywhere, anytime” paradigm
- Improved quality of experience (not necessarily mean improved average quality)
  - Enables faster start-up and seeking, and quicker buffer fills
  - Reduces skips, freezes and stutters
- Use of HTTP
  - Well-understood naming/addressing approach, and authentication/authorization infrastructure
  - Provides easy traversal for all kinds of middleboxes (e.g., NATs, firewalls)
  - Enables cloud access, leverages the existing (cheap) HTTP caching infrastructure

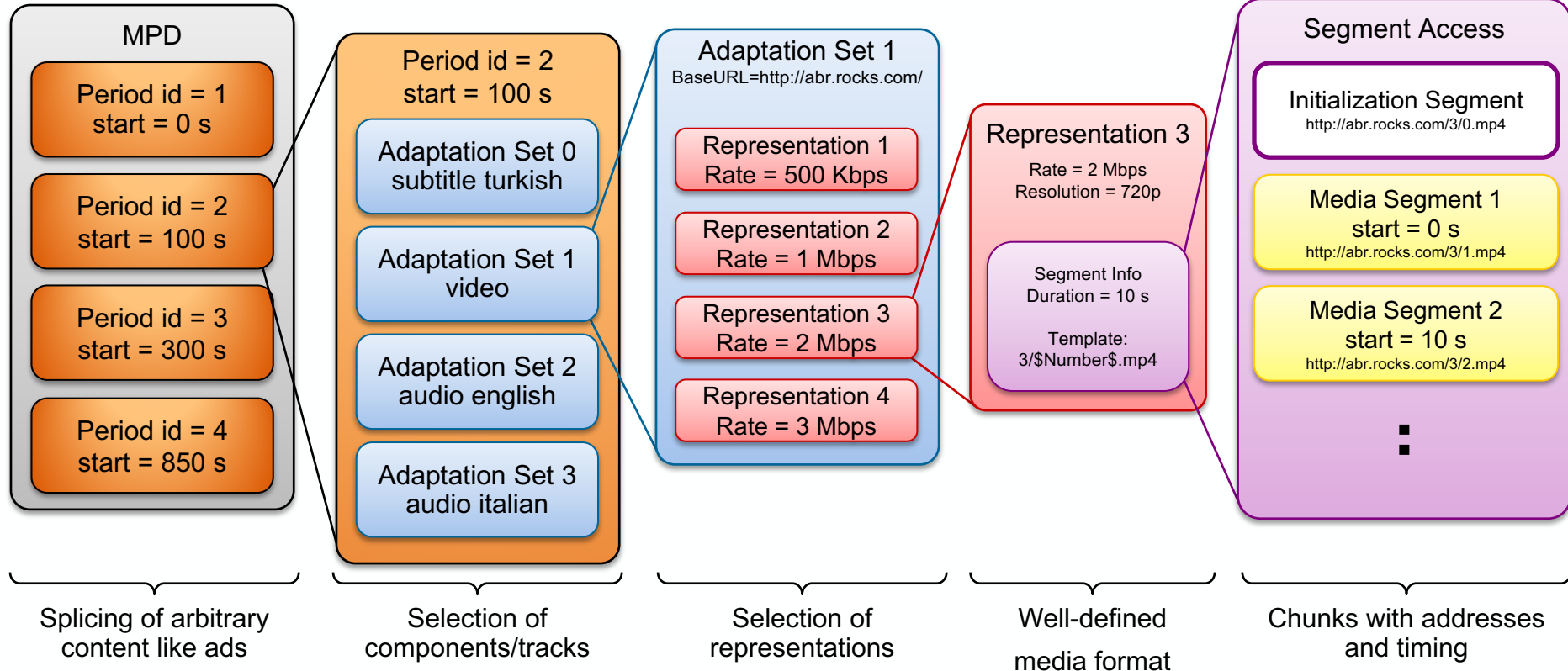
# Dead, Surviving, Maturing and Newborn Technologies

- **Move Adaptive Stream (Long gone, but some components are in Slingbox)**
  - <http://www.movenetworks.com>
- **Microsoft Smooth Streaming (Legacy)**
  - <http://www.iis.net/expand/SmoothStreaming>
- **Adobe Flash (Almost dead)**
  - <http://www.adobe.com/products/flashplayer.html>
- **Adobe HTTP Dynamic Streaming (Legacy)**
  - <http://www.adobe.com/products/httpdynamicstreaming>
- **Apple HTTP Live Streaming (The elephant in the room)**
  - <https://tools.ietf.org/html/rfc8216>
  - <https://datatracker.ietf.org/doc/draft-pantos-hls-rfc8216bis>
- **MPEG DASH and CMAF (The standards)**
  - <http://mpeg.chiariglione.org/standards/mpeg-dash>
  - <http://mpeg.chiariglione.org/standards/mpeg-a/common-media-application-format>



# An Example DASH Template-Based Manifest

## List of Accessible Segments and Their Timings



# An Example HLS Playlist-Based Manifest

## master.m3u8

```
#EXTM3U
#EXT-X-STREAM-INF:PROGRAM-ID=1,BANDWIDTH=232370,CODECS=
gear1/prog_index.m3u8
#EXT-X-STREAM-INF:PROGRAM-ID=1,BANDWIDTH=649879,CODECS=
gear2/prog_index.m3u8
#EXT-X-STREAM-INF:PROGRAM-ID=1,BANDWIDTH=41457,CODECS=
gear0/prog_index.m3u8
```

## gear1/prog\_index.m3u8

```
#EXTM3U
#EXT-X-TARGETDURATION:10
#EXT-X-VERSION:3
#EXT-X-MEDIA-SEQUENCE:0
#EXT-X-PLAYLIST-TYPE:VOD
#EXTINF:9.97667,
fileSequence0.ts
#EXTINF:9.97667,
fileSequence1.ts
#EXTINF:9.97667,
fileSequence2.ts
.
.
.
#EXT-X-ENDLIST
```

# Example Representations

## Vancouver 2010

	Encoding Bitrate	Resolution
<b>Rep. #1</b>	3.45 Mbps	1280 x 720
<b>Rep. #2</b>	1.95 Mbps	848 x 480
<b>Rep. #3</b>	1.25 Mbps	640 x 360
<b>Rep. #4</b>	900 Kbps	512 x 288
<b>Rep. #5</b>	600 Kbps	400 x 224
<b>Rep. #6</b>	400 Kbps	312 x 176

## Sochi 2014

	Encoding Bitrate	Resolution
<b>Rep. #1</b>	3.45 Mbps	1280 x 720
<b>Rep. #2</b>	2.2 Mbps	960 x 540
<b>Rep. #3</b>	1.4 Mbps	960 x 540
<b>Rep. #4</b>	900 Kbps	512 x 288
<b>Rep. #5</b>	600 Kbps	512 x 288
<b>Rep. #6</b>	400 Kbps	340 x 192
<b>Rep. #7</b>	200 Kbps	340 x 192

## PyeongChang 2018

	Encoding Bitrate	Resolution
<b>Rep. #1</b>	18 Mbps	4K (60p)
<b>Rep. #2</b>	12.2 Mbps	2560x1440 (60p)
<b>Rep. #3</b>	4.7 Mbps	2K (60p)
<b>Rep. #4</b>	3.5 Mbps	1280x720 (60p)
<b>Rep. #5</b>	2 Mbps	1280 x 720
<b>Rep. #6</b>	1.2 Mbps	768 x 432
<b>Rep. #7</b>	750 Kbps	640 x 360
<b>Rep. #8</b>	500 Kbps	512 x 288
<b>Rep. #9</b>	300 Kbps	320 x 180
<b>Rep. #10</b>	200 Kbps	320 x 180

Source: Vertigo MIX10, Alex Zambelli's Streaming Media Blog, Akamai, Comcast

# HAS Working Principle

## Smart and Selfish Clients

HTTP Server



Request

Response

(One can also multicast media segments)

Client

- Client fetches and parses the manifest
- Client uses the OS-provided HTTP stack (HTTP may run over TCP or QUIC)
- Client uses the required decryption tools for the protected content

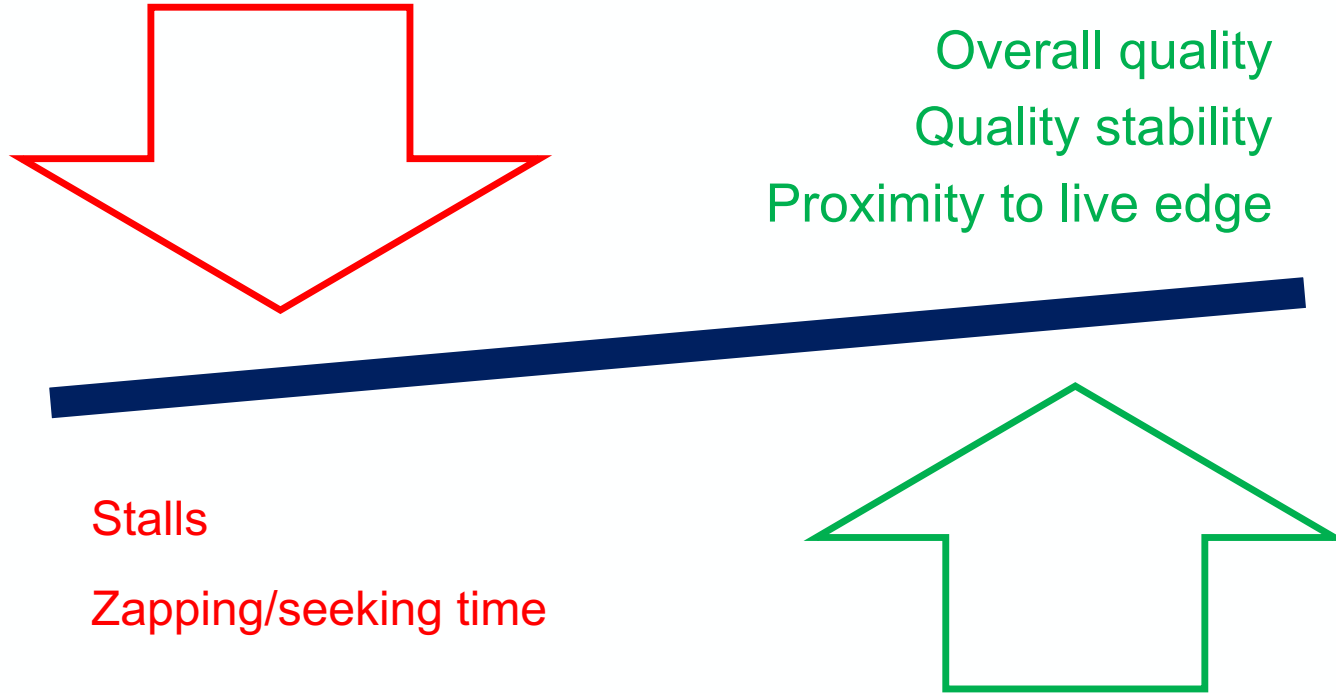
Client monitors and measures

- Size of the playout buffer (both in bytes and seconds)
- Chunk download times and throughput
- Local resources (CPU, memory, window size, etc.)
- Dropped frames

Client performs adaptation

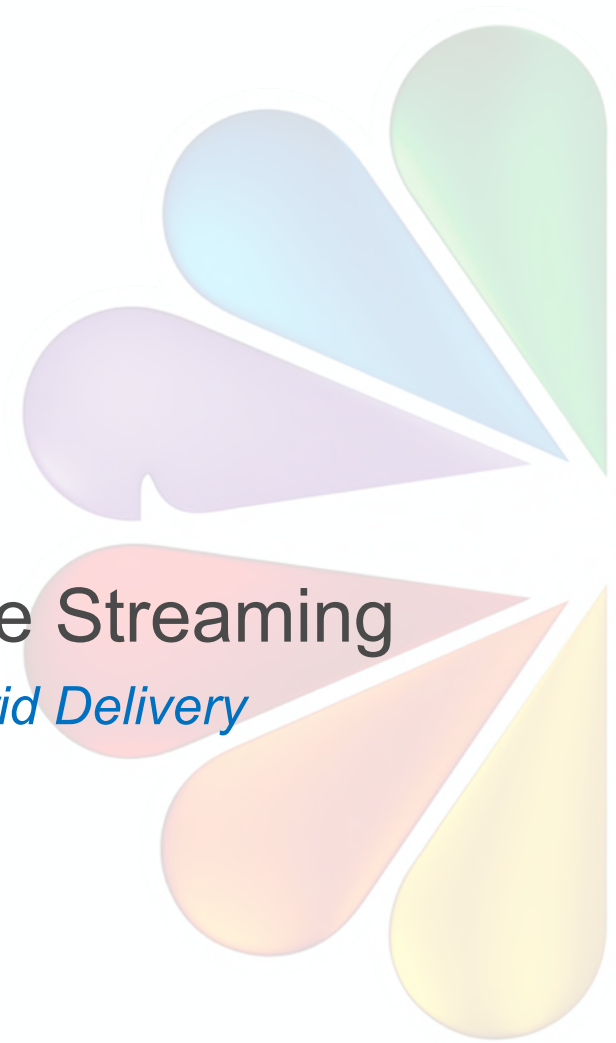
Client measures and reports metrics for analytics

# Tradeoffs in Adaptive Streaming



# Algorithms and Formats for Adaptive Streaming

*Common Issues in Scaling and Multi-Screen/Hybrid Delivery*



# Streaming over HTTP – The Promise

- Leverage tried-and-true Web infrastructure for scaling
  - Video is just ordinary Web content!
- Leverage tried-and-true TCP
  - Congestion avoidance
  - Reliability
  - No special QoS for video

**THERE  
IT SHOULD  
JUST WORK**

# Does it just work?

Mostly yes, when streaming clients compete with other types of traffic

Not really, when streaming clients compete with each other

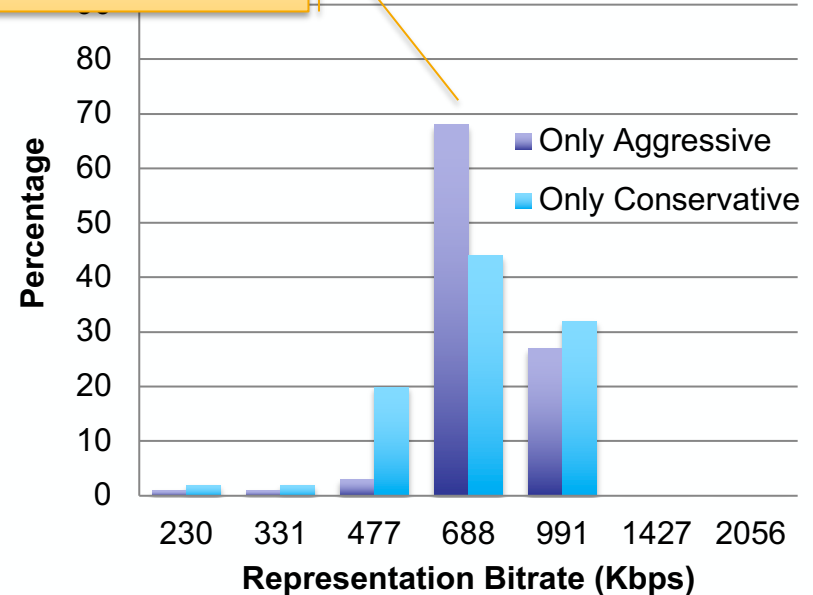
Streaming clients interact with each other forming an “accidental” distributed control-feedback system

- Multiple screens within a household
- ISP access/aggregation links
- Small cells in stadiums and malls

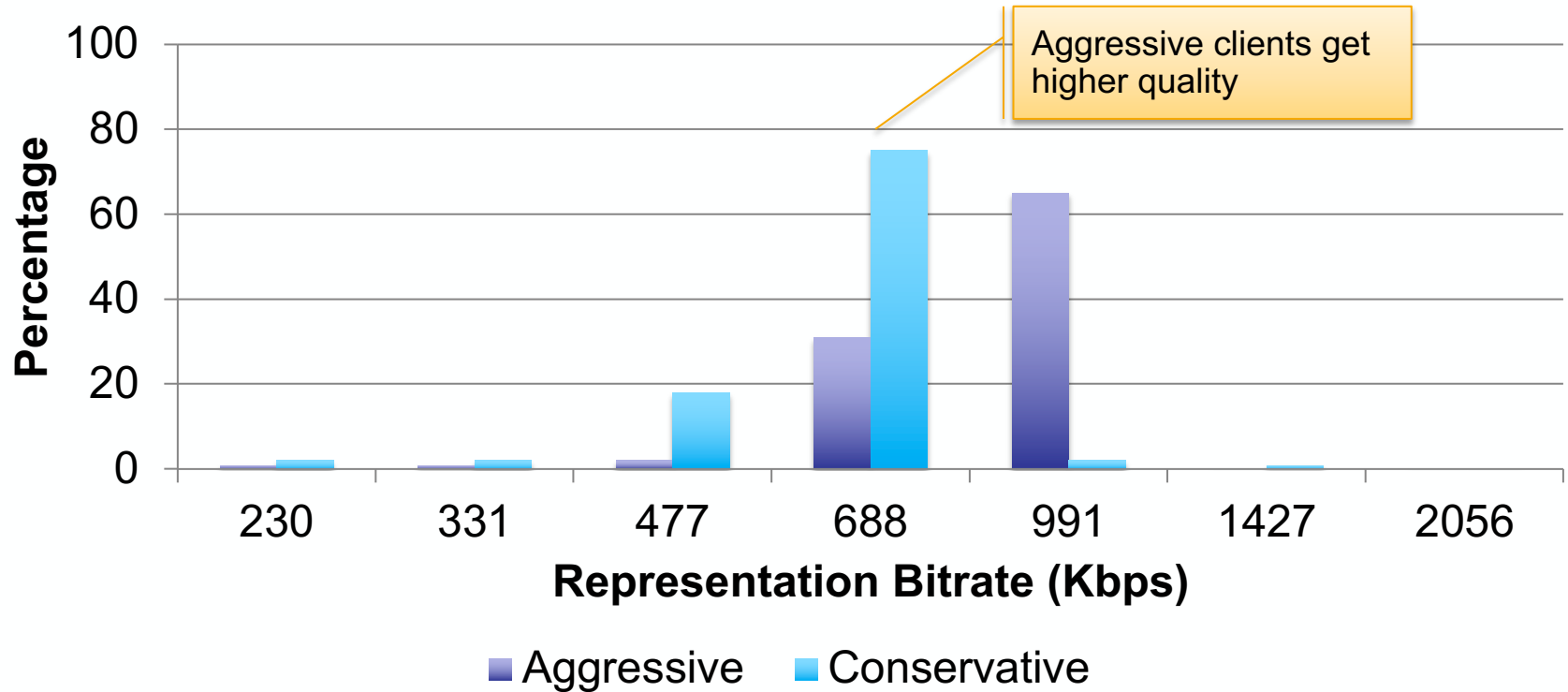
# 100 Simulated Clients Sharing a 100 Mbps Link

- Aggressive Clients
  - Stay at bitrate R provided that recent download speeds are at least  $0.9xR$
- Conservative Clients
  - Stay at bitrate R provided that recent download speeds are at least  $1.2xR$
- Parameters
  - Chunks: 2 s
  - Minimum buffer threshold: 4 s
  - Maximum buffer: 45 s

Aggressive clients are fairer to each other

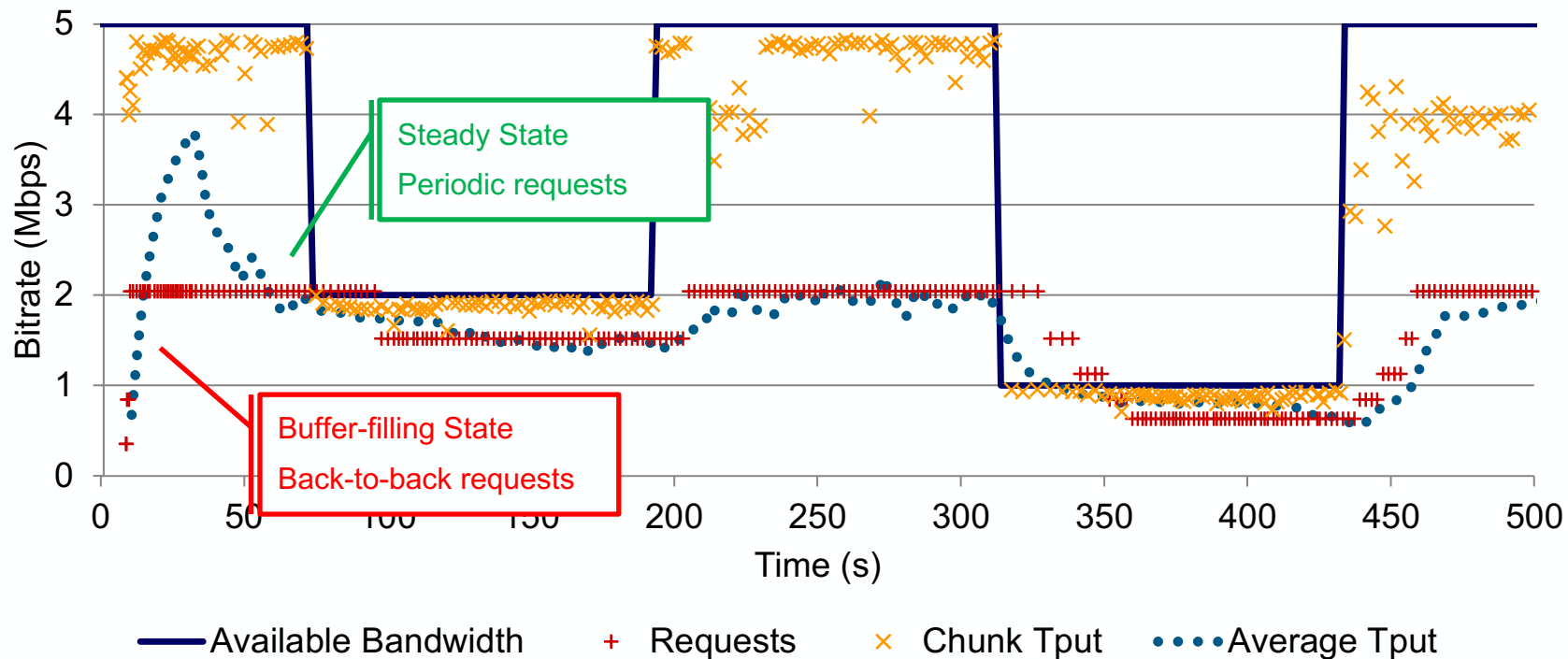


# 50+50 Mixed Simulated Clients Sharing a 100 Mbps Link



# Demystifying a Streaming Client

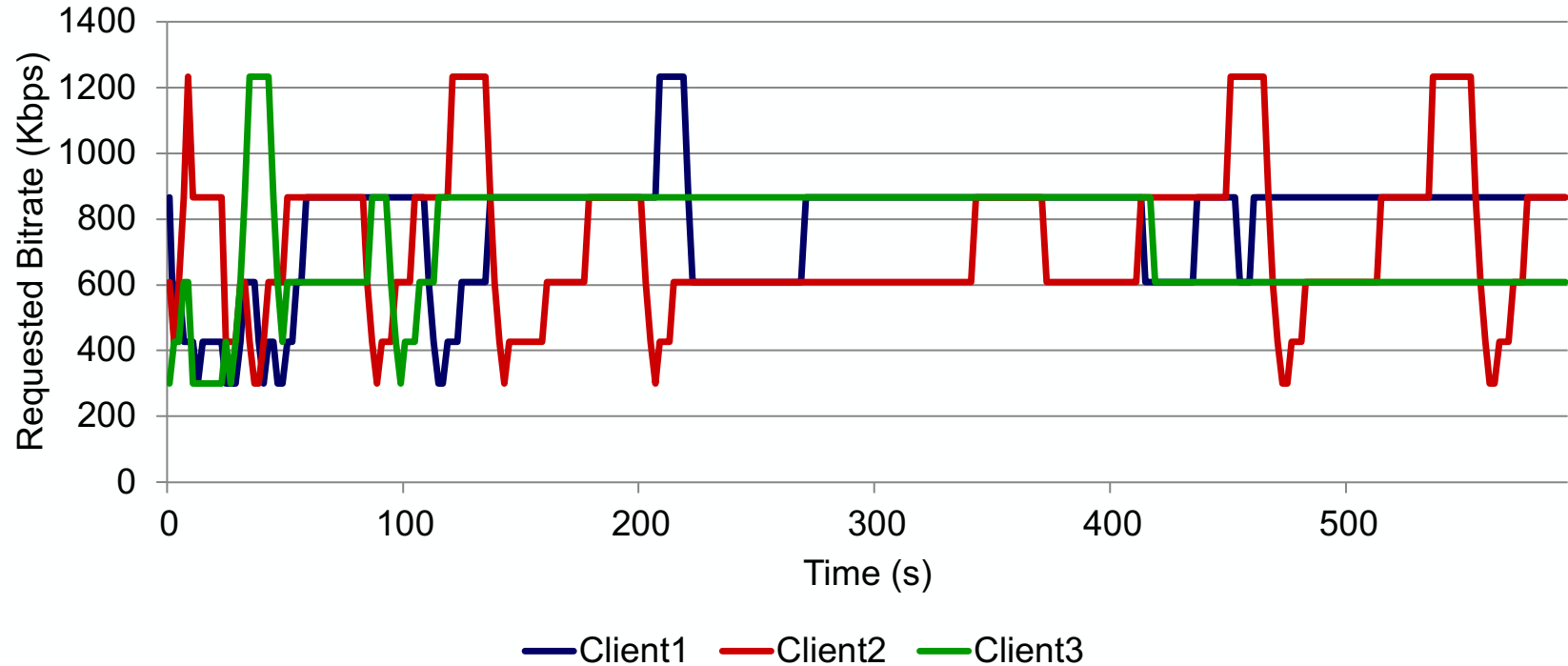
## A Single Microsoft Smooth Streaming Client under a Controlled Environment



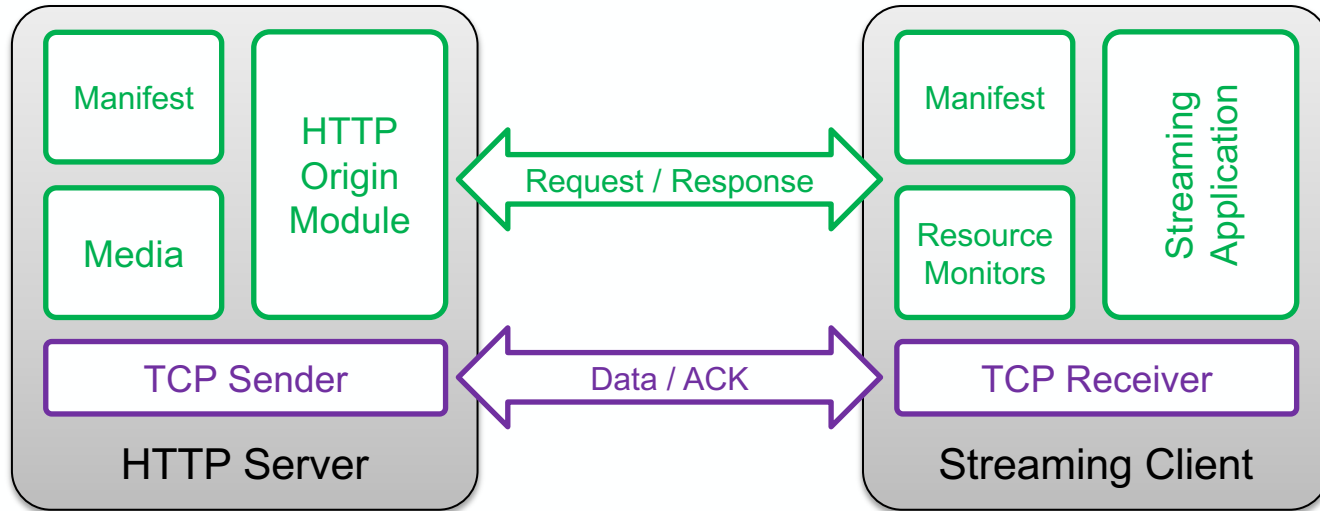
Reading: "An experimental evaluation of rate-adaptation algorithms in adaptive streaming over HTTP," ACM MMSys 2011

# Selfishness Hurts Everyone

10 (Commercial) Streaming Clients Sharing a 10 Mbps Link



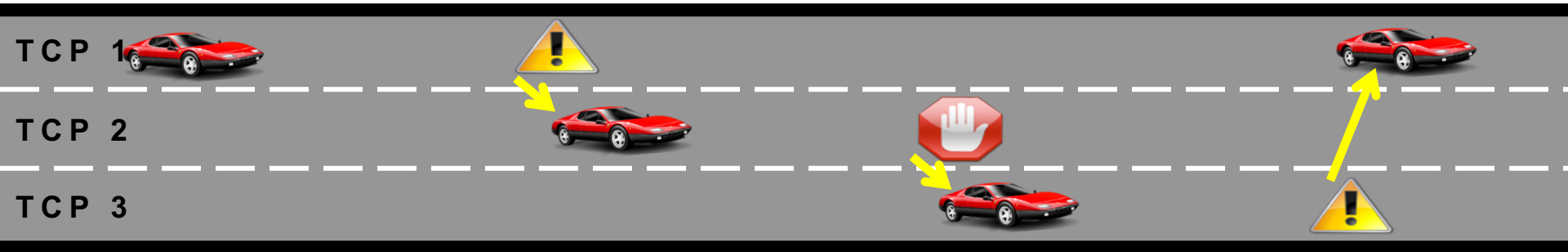
# Inner and Outer Control Loops



There could be multiple TCPs destined to the same or different servers

# Streaming with Multiple TCP Connections

Similar to Driving on a Multi-Lane Highway



**TCP Fairness  $\neq$  Fair Streaming**

# Streaming with Multiple TCP Connections



- Using multiple concurrent TCPs
  - Can help mitigate head-of-line blocking
  - Allows fetching multiple (sub)segments in parallel
  - Allows to quickly abandon a non-working connection without having to slow-start a new one

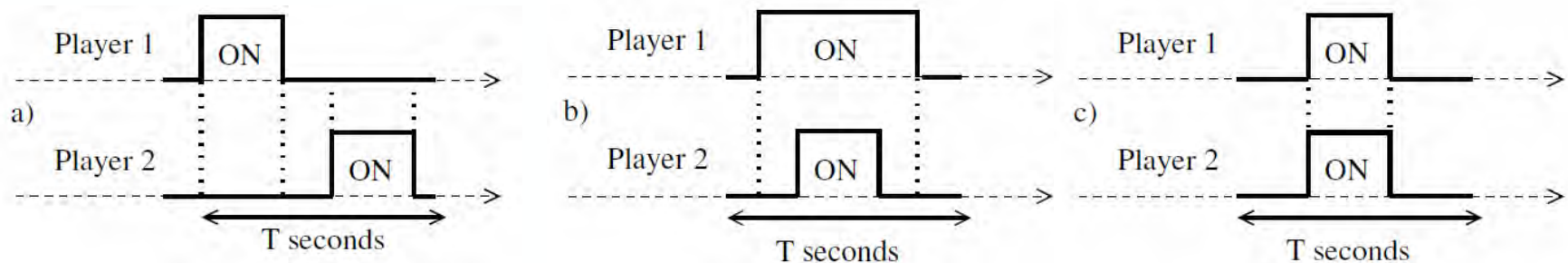
**System performance deteriorates very quickly if many clients adopt this approach without limiting the aggregated bandwidth consumption**

# Understanding the Root Cause

## Two Competing Clients

- Depending on the timing of the ON periods:
  - Unfairness, underutilization and/or instability may occur
  - Clients may grossly overestimate their fair share of the available bandwidth

Clients cannot figure out how much bandwidth to use until they use too much  
(Just like TCP)



Reading: "What happens when HTTP adaptive streaming players compete for bandwidth?," ACM NOSSDAV 2012

# How to Solve the Issues?

## Fix the clients and/or the transport

- Use a better adaptation algorithm like PANDA or BOLA
- Use machine learning or deep learning like Pensieve
- Improve the HTTP/TCP stack, try out the alternatives
- Adopt ideas from game/consensus theory (GTA)

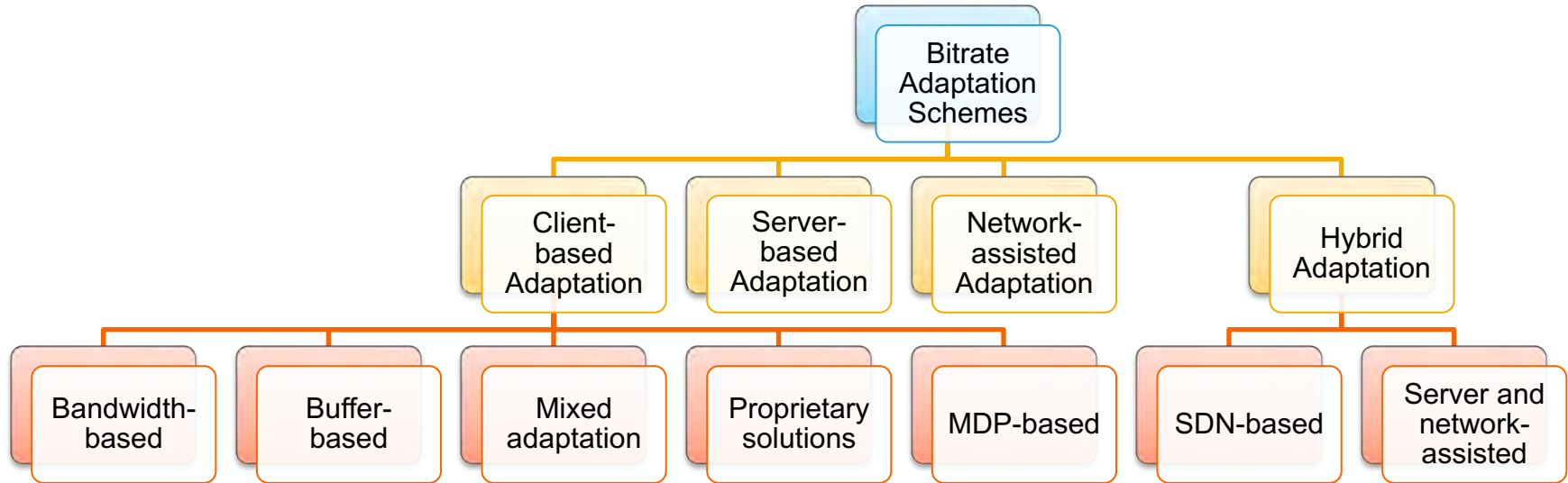
## Get support from the network

- QoS in the core/edge
- SDN

## Enable a control plane

- Assist the clients and network elements thru metrics and analytics

# Bitrate Adaptation Schemes



Reading: "A survey on bitrate adaptation schemes for streaming media over HTTP," *IEEE Commun. Surveys Tuts.*, to appear

# High-Level Comparison between Different Schemes

## Pick Your Poison

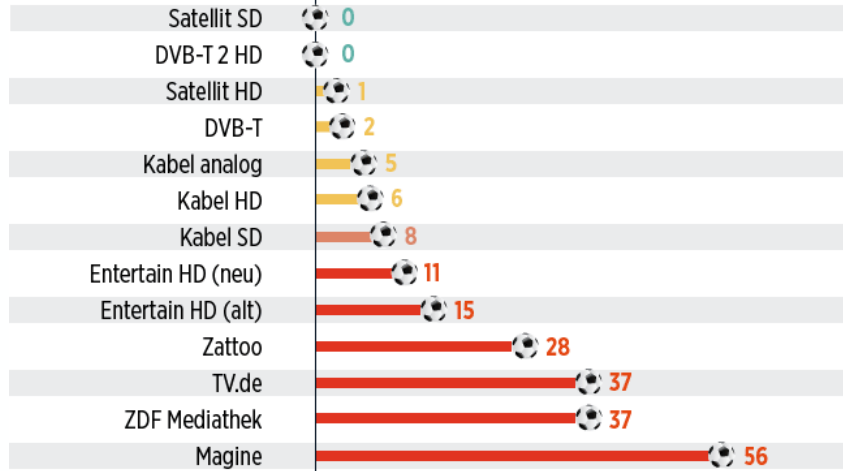
- The client-based adaptation schemes
  - Show a good performance in single and few-client scenarios
  - Largely fail in multi-client or mixed-client scenarios
- The server-based adaptation schemes
  - Require custom servers
  - More effective in eliminating the bitrate oscillation problems
  - Less scalable due to increased complexity on the servers
- The network-assisted adaptation and hybrid schemes
  - Show a good performance in both small and large populations
  - Require modifications on the clients, server and/or network devices
  - Pose practicality issues for deployment

# Why is Latency Important?

## Verzögerungen beim TV-Signal

Angaben in Sekunden

TOR



info.BILD.de | Quelle: heise online

# Latency in One Slide

## Contributors to the Latency

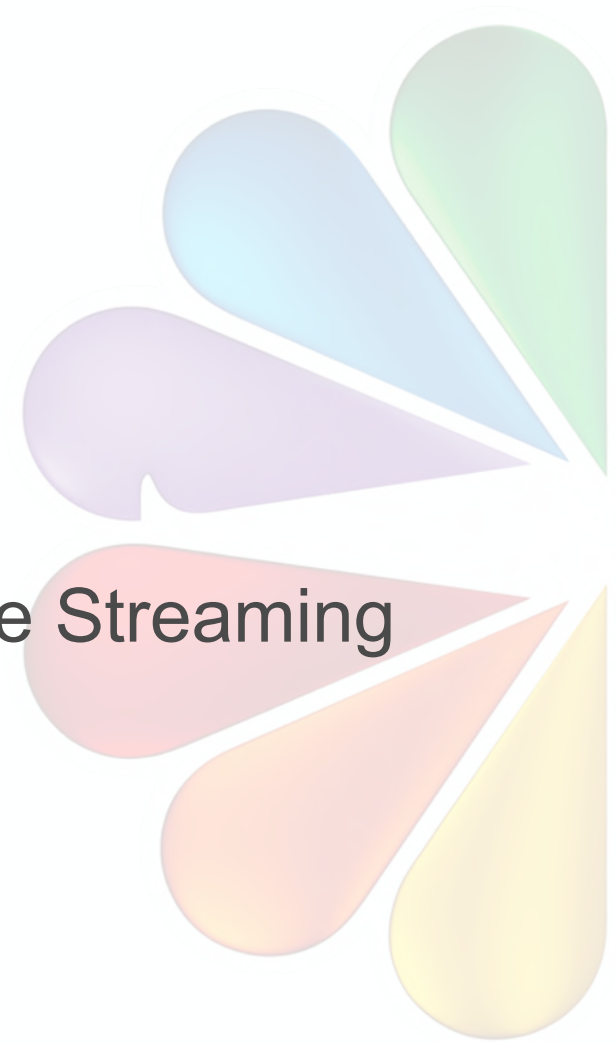
- Video encoding pipeline duration
- Ingest and packaging operations
- Network propagation delays
- CDN buffer delays
- Media segment duration
- Player behavior
  - Buffering
  - Playhead positioning
  - Resilience

## Current Implementations

- Smooth Streaming: 2-second segments, usually 10 seconds of latency
- DASH: 2-second segments supported by most players, usually 8 to 10 seconds of latency
- HLS
  - Until mid-2016: 10-second segments, usually 30 seconds of latency
  - Since mid-2016: 6-second segments, usually 18 to 20 seconds of latency
  - Safari Mobile in iOS11: Autostart for live streams and support for short segments
  - App Store & iOS applications: 6-second segments are recommended but not mandatory

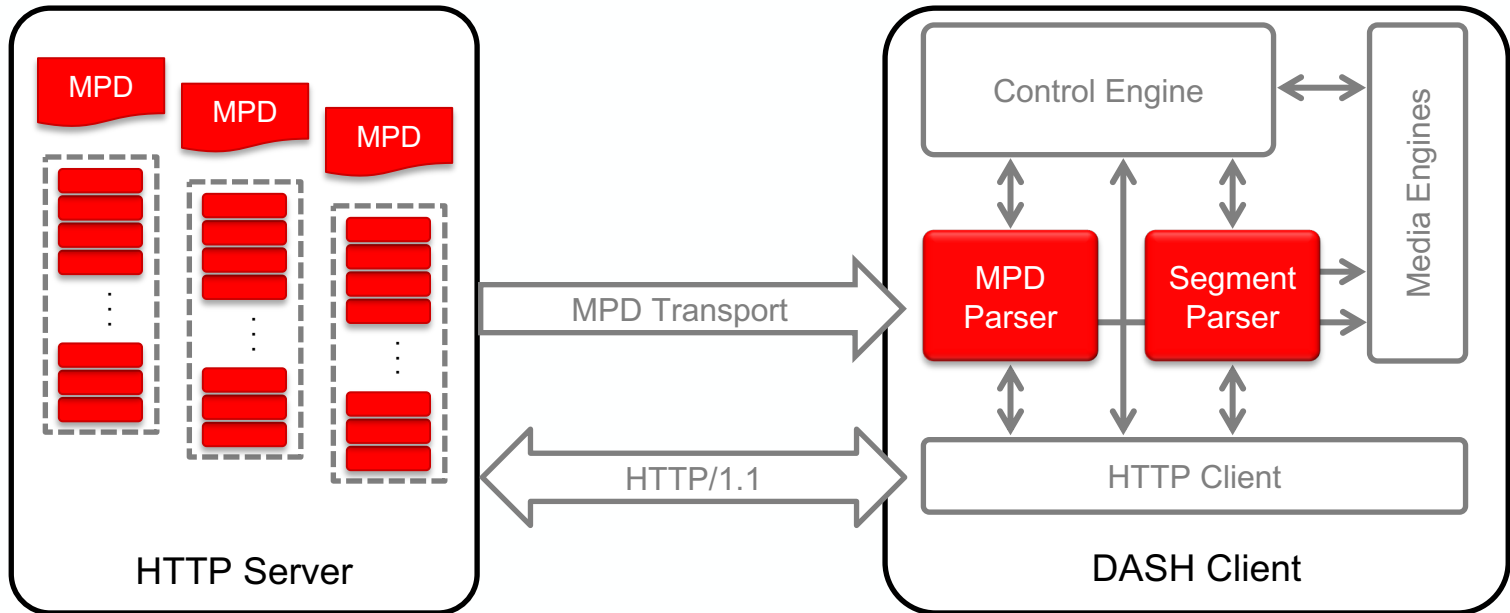
# Algorithms and Formats for Adaptive Streaming

*Status of MPEG DASH*



# Scope of MPEG DASH

Shown in Red



# Brief History of DASH at MPEG

## 23009-1: Media Presentation Description and Segment Formats

- Amd. 1: NTP sync, extended profiles
- Amd. 2: SRD, URL parameter insertion, role extensions
- Amd. 3: External MPD link, period continuity, generalized HTTP header extensions/queries
- Amd. 4: TV profile, MPD chaining/resetting, data URLs in MPD, switching across adaptation sets
- 3<sup>rd</sup> edition (FDIS) in ballot
- Amd. 5 (WiP): Device information, quality equivalence descriptor, timed text roles, announcing popular content, flexible IOP signaling, early available periods, signaling missing/alternative segments

## 23009-2: Conformance and Reference Software

- 2<sup>nd</sup> edition was published in Oct. 2017
- Amd. 1 (WiP): SAND conformance rules

## 23009-3: Implementation Guidelines (Informative)

- 3<sup>rd</sup> edition (WD) is in progress



# Brief History of DASH at MPEG

## **23009-4: Segment Encryption and Authentication**

- 2<sup>nd</sup> edition (FDIS) in ballot

## **23009-5: Server and Network Assisted DASH (SAND)**

- 1<sup>st</sup> edition, published in Feb. 2017

## **23009-6: DASH over Full Duplex HTTP-Based Protocols (FDH)**

- 1<sup>st</sup> edition, published in Dec. 2017

## **23009-7: Delivery of CMAF Contents with DASH (Informative)**

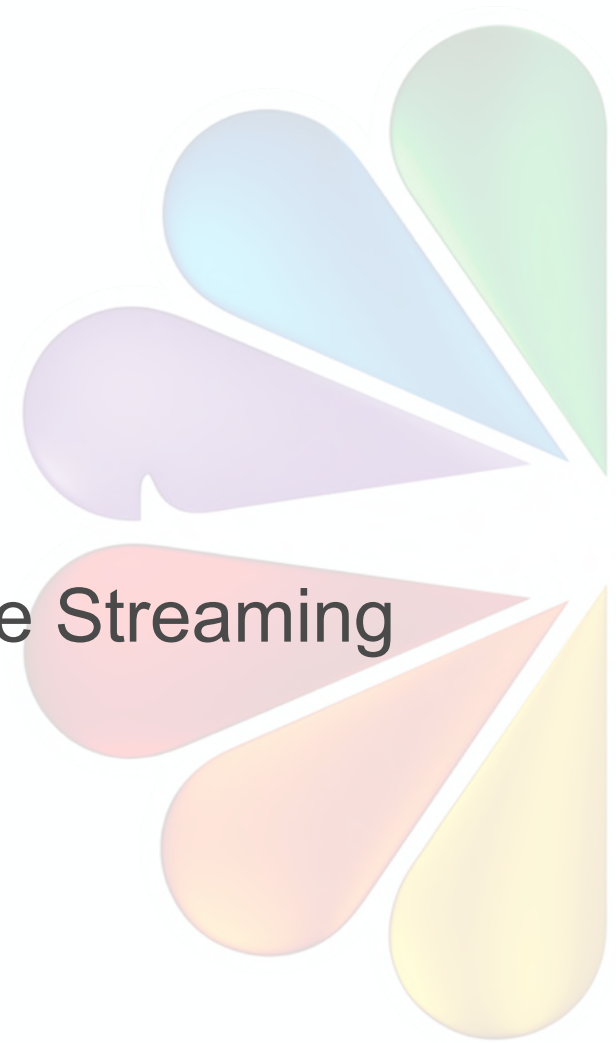
- 1<sup>st</sup> edition (WD) is work in progress

# Ongoing Work as of MPEG 123 (July 2018)

- Technologies under Consideration (w17812)
  - Usage of HEVC tile tracks in DASH
  - Annotation and client model for content selection
  - Signaling for quality control
  - Announcing popular content in DASH
  - Using segment templates for forensic watermarking
  - Using DASH MPD chaining for mid-roll ads
  - DASH playlist description
  - Mixed MPD
  - Event processing model
  - Patch method for MPD updates

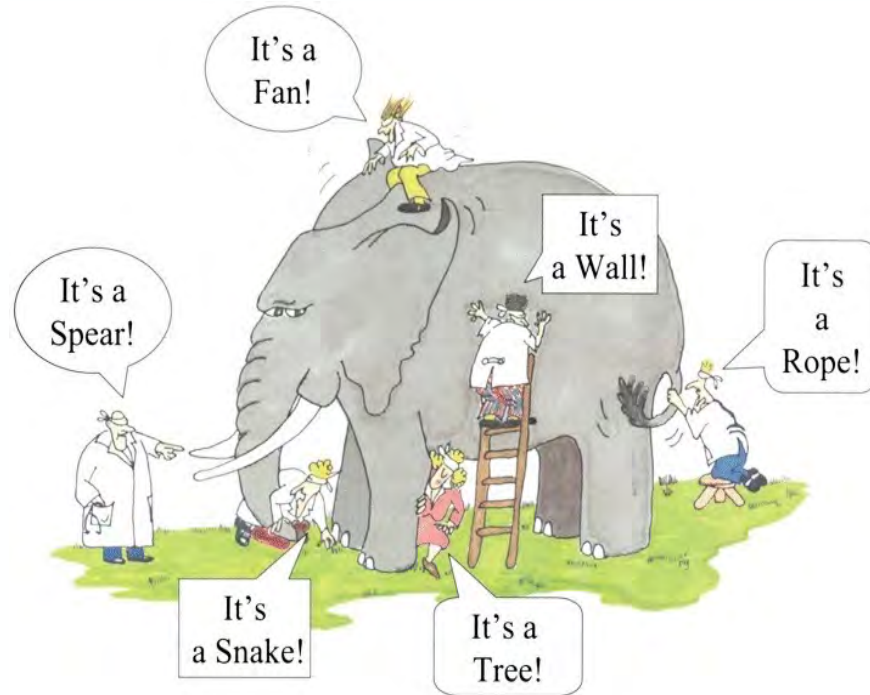
# Algorithms and Formats for Adaptive Streaming

*Improving QoE in Streaming*



# What is Quality?

Many Definitions Do Exist



# Nomenclature of Rate Control

- ABR: Adaptive bitrate
  - Misnomer, refers to adaptive streaming over HTTP
- CBR: Constant bitrate encoding
  - The decoder's buffer is filled at a constant rate
- (True) VBR: Variable bitrate encoding
  - The decoder's buffer is filled at a non-constant rate
- Capped VBR: How VBR is implemented in practice
  - The decoder's buffer is filled at a non-constant rate with strict min and max bounds
- Title/content-based (or content-aware) encoding
  - Choosing the bitrate ladder based on the content
- Context-aware encoding
  - Advanced optimizations based on viewer, display and viewing

**Past**

CBR encoding  
with fixed  
bitrate ladders

**Today**

Slowly moving to  
cVBR and  
custom bitrate  
ladders

**Future**

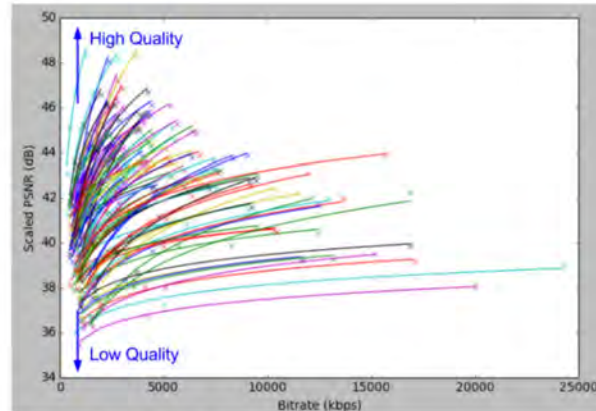
Context-aware  
encoding?

# Content-Based (Content-Aware) Encoding

## Picking the Bitrate Ladder Based on the Content

Increased bandwidth brings new opportunities

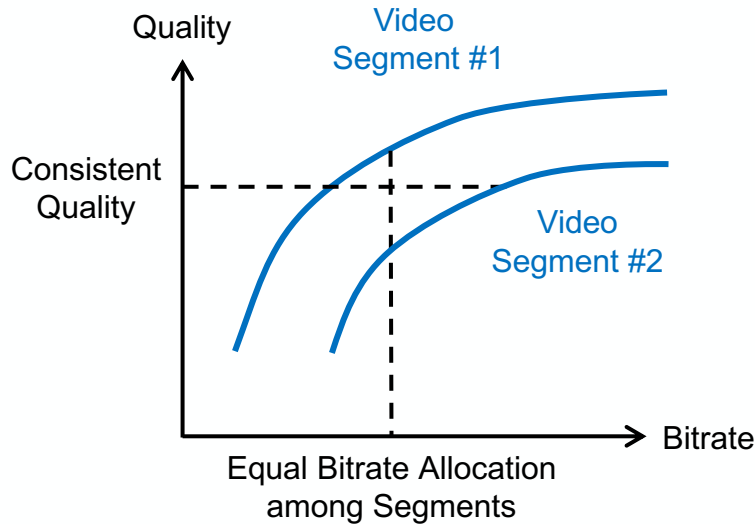
Drives overall maturity of IP/ABR delivery technologies, introduces new opportunities –  
Per Title Encode Optimization



Source: Netflix Tech Blog, December 2015

Content-aware encoding gives us **fairness in quality** as opposed to **fairness in bitrate**

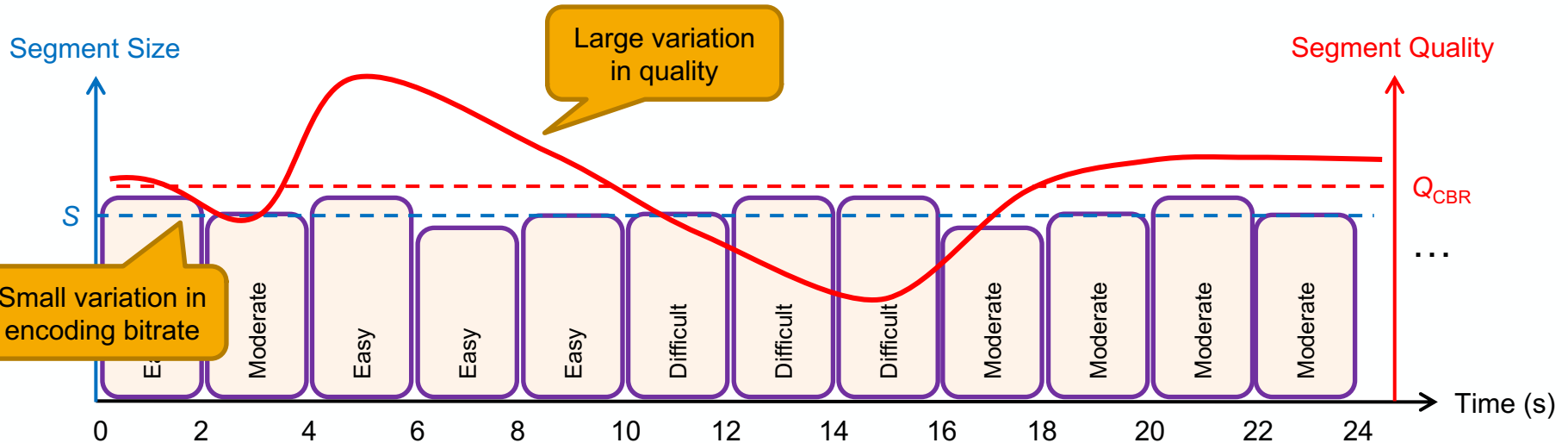
# Segments Have Different Complexities



- If the following holds true
  - Segments are ~CBR encoded
  - Client fetches segments based on bitrate information only
- Then, viewer QoE will vary because of
  - Low-motion/complexity vs. high-motion/complexity scenes
  - Upshifts and downshifts dictated by the adaptation logic

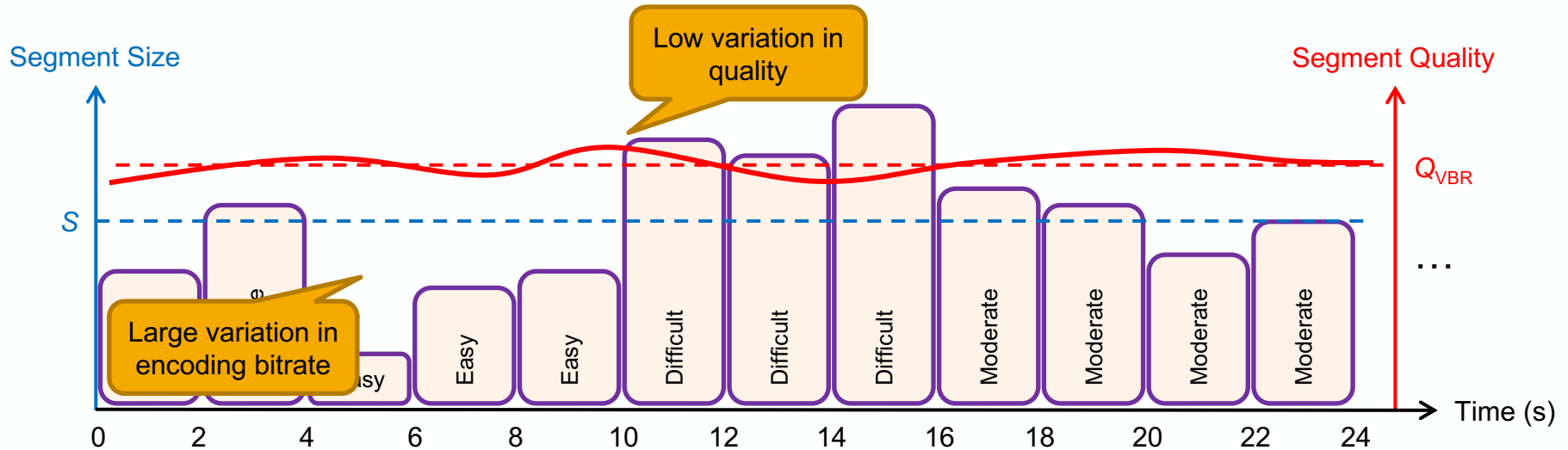
# Adaptation Feature Does Not Deliver Consistent Quality

Guidelines Limited Bitrate Variability to (Mostly) 10% So Far



If there is something worse than having to watch a video at a lousy quality, it is to watch that video with varying quality

# What If We Encode in a More Subtle Fashion?

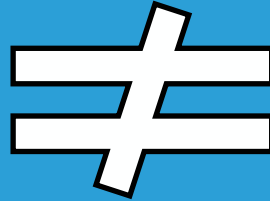


While we spend the same total amount of bits, we not only increase average quality but also reduce quality variation

HLS authoring spec for ATV allows 2x capping rate for VoD. For linear content, variability is limited to 10-25% range.

# Generating VBR-encoded segments is easy, but streaming them is not!

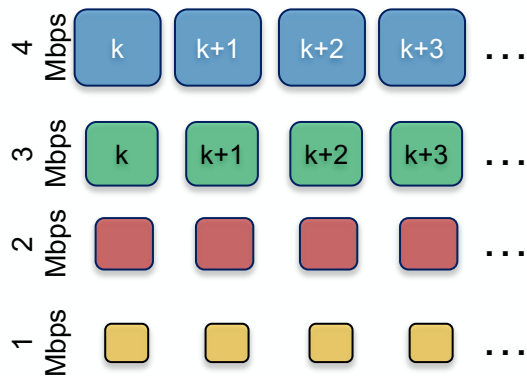
Content-aware  
Encoding



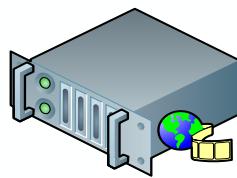
Content-aware  
Streaming

# What If the Content is Already CBR Encoded

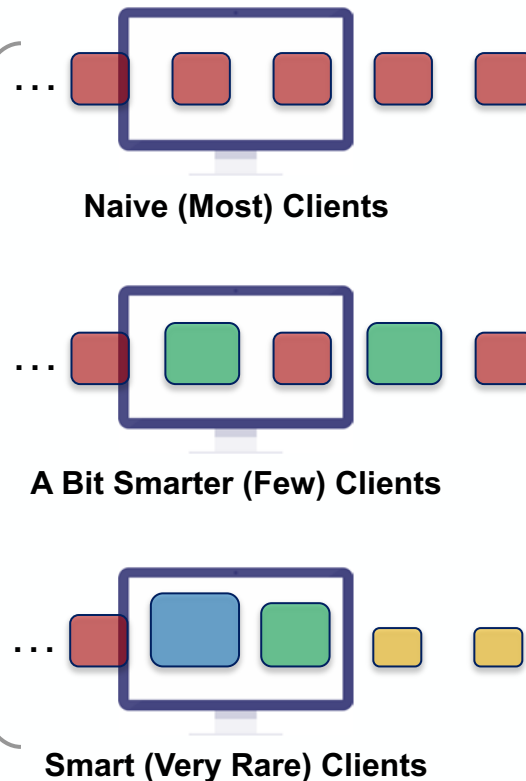
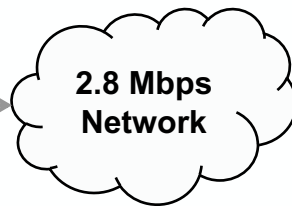
We can Still Save Bandwidth and/or Improve Quality



Representations (4 bitrate levels)



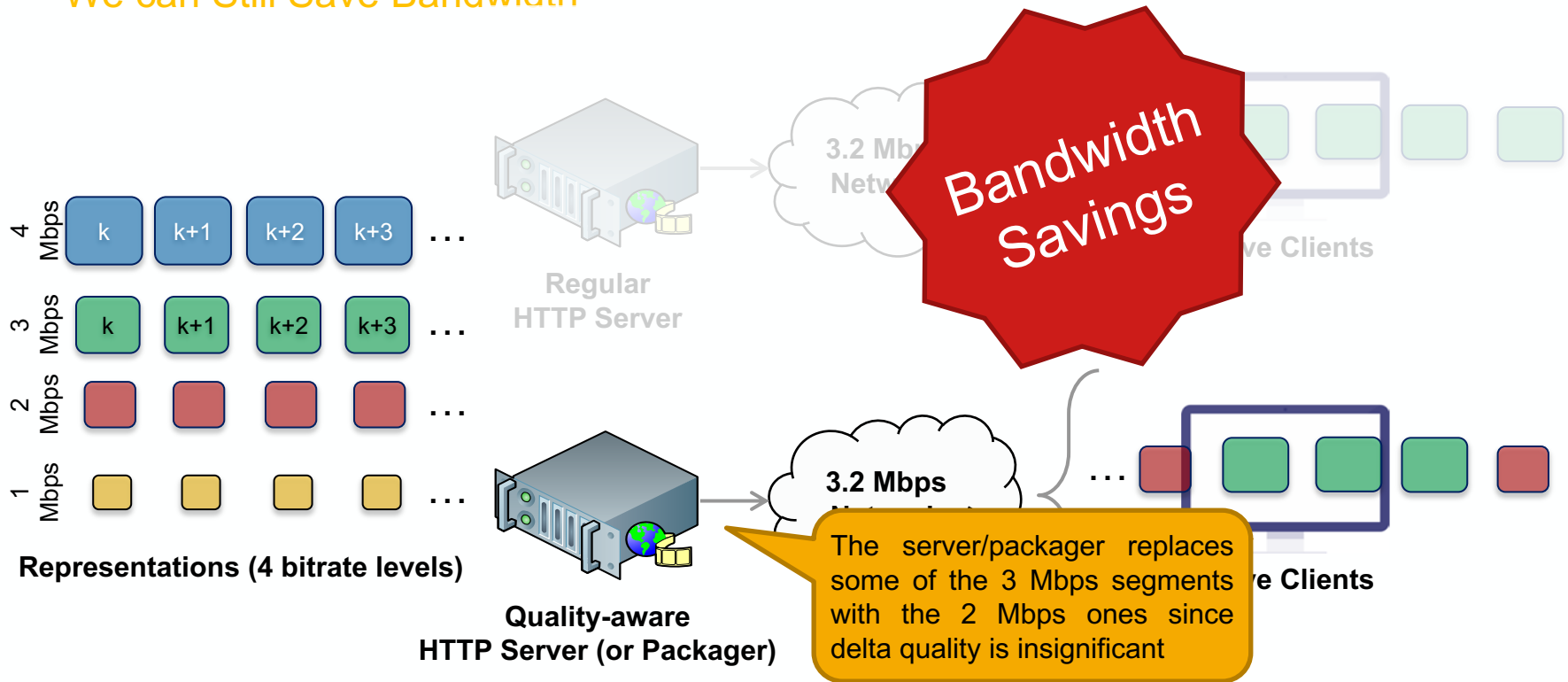
HTTP Server



Reading: "Streaming video over HTTP with consistent quality," ACM MMSys 2014

# What If There is No Smartness in the Client

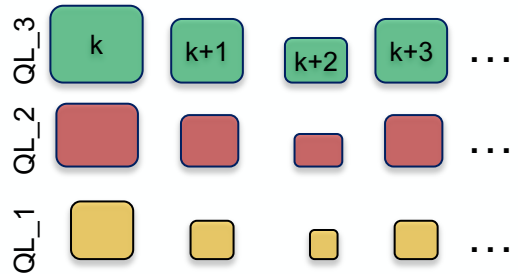
We can Still Save Bandwidth



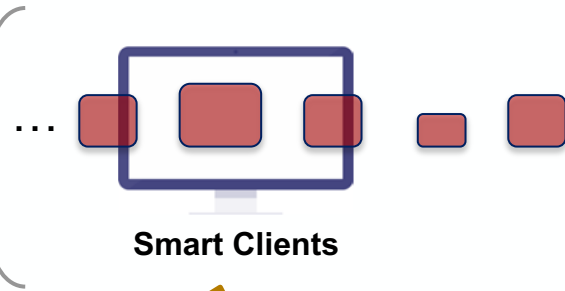
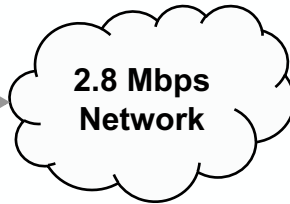
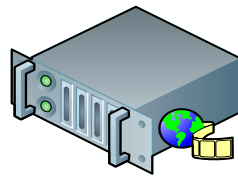
Reading: "More juice less bits: content aware streaming," ACM MMSys 2016

# What If the Content is VBR Encoded

The resolution stays the same but the encoding rate varies in a given representation (per quality level)



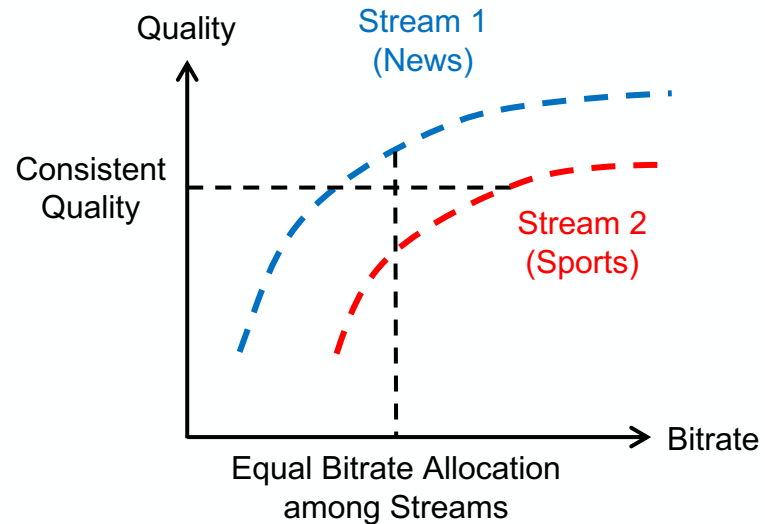
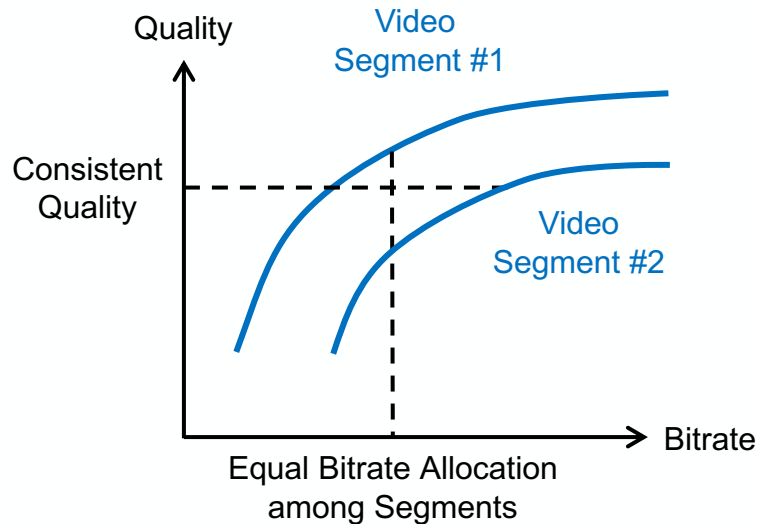
Representations (3 quality levels)



The client streams the highest consistent-quality video without draining its buffer while respecting the available bandwidth

# Extending the Idea to Optimization across Streams

- Same principle applies to both:
  - In-stream: Temporal bit shifting between segments
  - Across-streams: Bit shifting between streams sharing a bottleneck link



Reading: "Spending quality time with the Web video," IEEE Internet Comput., 2016

Visit <http://ali.begen.net> for More Tutorials and Papers



*“Television! Teacher, mother, secret lover”*

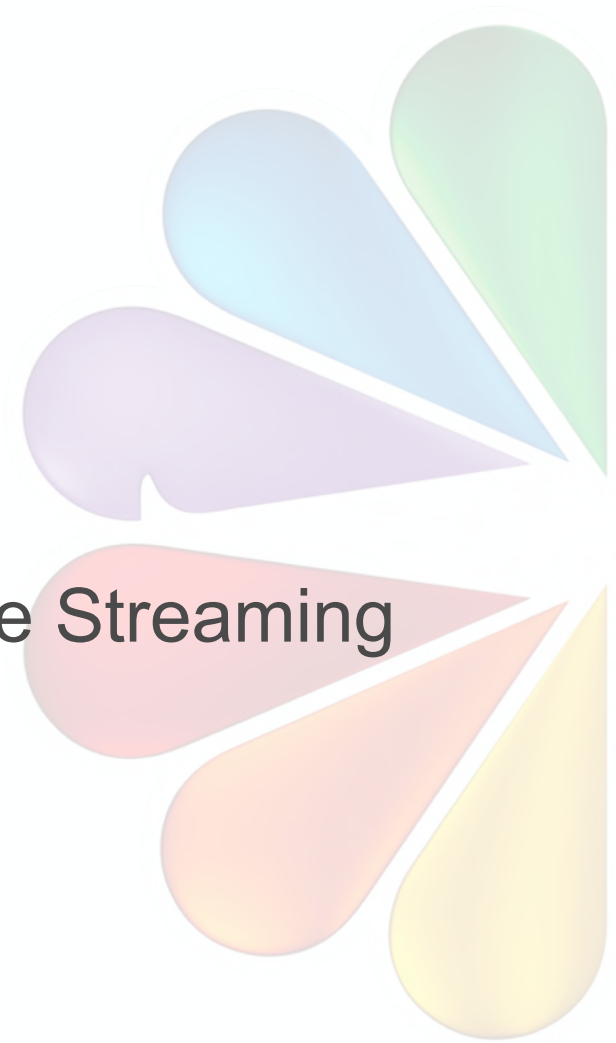
– Homer Simpson

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Thanks to T. Stockhammer, J. Simmons, K. Hughes, C. Concolato, S. Pham, W. Law, N. Weil and many others for helping with the material

# Algorithms and Formats for Adaptive Streaming

*Backup Slides*



# Source Code for Client Implementations

- DASH Industry Forum
  - <http://dashif.org/software/>
- JW Player
  - <https://github.com/jwplayer/jwplayer>
- Other Open Source Implementations/Frameworks
  - <http://dash.itec.aau.at/>
  - <http://gpac.wp.mines-telecom.fr/>
  - <https://github.com/google/shaka-player>
  - <https://github.com/video-dev/hls.js/>
  - <http://streaming.university/GTA/>
- TNO's SAND Demo: <https://github.com/tnomedialab/sand>

# DASH Datasets

- DASH (<http://dash.itec.aau.at/>)
  - [http://www-itec.uni-klu.ac.at/dash/?page\\_id=207](http://www-itec.uni-klu.ac.at/dash/?page_id=207)
- Distributed DASH
  - [http://www-itec.uni-klu.ac.at/dash/?page\\_id=958](http://www-itec.uni-klu.ac.at/dash/?page_id=958)
- Multi-Codec DASH
  - [http://www-itec.uni-klu.ac.at/dash/?page\\_id=1619](http://www-itec.uni-klu.ac.at/dash/?page_id=1619)
- DASH SVC
  - <http://concert.itec.aau.at/SVCDataset/>
- UHD HEVC DASH
  - <http://download.tsi.telecom-paristech.fr/gpac/dataset/dash/uhd/>
- iVID-Datasets for AVC and HEVC
  - [https://www.ucc.ie/en/misl/research/datasets/ivid\\_dataset/](https://www.ucc.ie/en/misl/research/datasets/ivid_dataset/)
- AVC and HEVC UHD 4K DASH
  - [https://www.ucc.ie/en/misl/research/datasets/ivid\\_uhd\\_dataset/](https://www.ucc.ie/en/misl/research/datasets/ivid_uhd_dataset/)
- Open Dataset from ITU-T P.1203 Standardization
  - <https://github.com/itu-p1203/open-dataset>

# Other Datasets

- SWAPUGC
  - <https://github.com/emmanouil/SWAPUGC>
- A 4G LTE Dataset with Channel and Context Metrics
  - [https://www.ucc.ie/en/misl/research/datasets/ivid\\_4g\\_lte\\_dataset/](https://www.ucc.ie/en/misl/research/datasets/ivid_4g_lte_dataset/)
- A Multi-Carrier Mobile Geo-Communication Dataset
  - <https://dl.acm.org/citation.cfm?id=3193572>
- ODIs Saliency Maps
  - <https://drive.google.com/file/d/1hbPDS2FqzZRqpAbhRurL7L-rT0bjlZeB/view>
- Exploring User Behaviors in VR
  - <https://wuchlei-thu.github.io/>
- 360° Videos Head Movements
  - <http://dash.ipv6.enstb.fr/headMovements/>
- 360° Video Viewing in Head-Mounted VR
  - <https://dl.acm.org/citation.cfm?id=3192927>